/

**PATENT** 

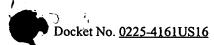
Docket No. <u>0225-4161US</u>

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

# **UTILITY APPLICATION AND APPLICATION FEE TRANSMITTAL (1.53(b)**

`\ Box Pa	TANT COMMISSI tent Application agton, D.C. 20231	ONER FOR PATENTS	
Sir:			
Transm	nitted herewith for f	filing is the patent application of	
Named Inventor(s) and Address(es):		SHOLOM S. ROSEN, 10 West 86 <sup>th</sup> Street, (Apt. 7-A), New York, New York 10024 U.S.A.	
For:		ELECTRONIC TRANSACTION APPARATUS FOR ELECTRONIC COMMERCE	
Enclosed are:		· · · · · · · · · · · · · · · · · · ·	
[X] <u>75</u>	page(s) of sp	pecification, 1_page(s) of Abstract, 5_page(s) of claims	
[X] <u>91</u>			
[X] <u>4</u>	page(s) of Do	eclaration and Power of Attorney	
7. 7	[ ] Unsigned [ ] Newly Execu [X] Copy from p	uted	
	[ ] Dele	etion of inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2)	
[X]	Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the combined declaration and power of attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.		
[]	Microfiche Computer Program (Appendix)		
[]	page(s) of Sequence Listing		
		er readable disk containing Sequence Listing nt under 37 C.F.R. § 1.821(f) that computer and paper copies of the Sequence Listing are	е
[]	Claim for Priority	<i>'</i>	

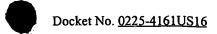






[ ]:	Certified copy of Priority Document(s)		
	Certified copy of Priority Document(s)  [ ] English translation documents  Information Disclosure Statement		
[]	Information Disclosure Statement		
	[ ] Copy of cited references		
	[ ] Copy of PTO-1449 filed in parent application serial No		
[X]	reliminary Amendment		
[X]	Return receipt postcard (MPEP 503)		
[X]	Supplemental Declaration		
[]	Assignment Papers (assignment cover sheet and assignment documents)		
	[ ] A check in the amount of \$40.00 for recording the Assignment.		
	[X] Assignment papers filed in parent application Serial No. <u>08/234,461</u> .		
	[ ] Certification of chain of title pursuant to 37 C.F.R. § 3.73(b).		
[]	This is a [ ] continuation [X] divisional [ ] continuation-in-part (C-I-P) of prior application serial no. 08/895,395.		
	[X] Cancel in this application original claims <u>2-11</u> of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)		
	[X] A preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application.		
[X]	The status of the parent application is as follows:		
	A Petition For Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until		
	[ ] A copy of the Petition for Extension of Time in the co-pending parent application is attached.		
	[X] Patent Application 08/895,395 is pending.		
[]	Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.		
-	[ ] Transfer the drawing(s) from the patent application to this application.		
[X]	Amend the specification by inserting before the first line the semence:		
A\	This is a divisional of co-pending application Serial No. 08/895,395 filed July 16, 1997, which is a divisional of application Serial No. 08/730,158 filed October 23, 1996, now U.S. Patent No. 5,703,949, which is a file wrapper continuation of application Serial No. 08/575,699 filed December 19, 1995, now abandoned, which is a divisional of application Serial No. 08/234,461 filed April 28, 1994, now U.S. Patent No. 5,557,518.		





I. CALCULATION OF APPLICATION FEE (For Other Than A Small Entity)

				Basic Fee
Number Filed		Number Extra	Rate	\$790.00
7	-20=	0	x\$22.00	\$0
			· <del></del>	
2	-3=	0	x82.00	\$0
Claims				
[ ] yes		Additional Fee =	\$270.00	ድለ
[X] no		Add'l Fee =	NONE	<b>\$</b> 0
	7 2 Claims [ ] yes	7 -20= 2 -3= Claims [ ] yes	7	7 -20= 0 x\$22.00 2 -3= 0 x82.00 Claims [] yes Additional Fee = \$270.00

Total: \$ 790.00

[]	A statement claiming small entity status is attached or has been filed in the above-identified parent
	application and its benefit under 37 C.F.R. § 1.28(a) is hereby claimed. Reduced fees under 37 C.F.R.
	§ 1.9(F) (50% of total) paid herewith \$

- [X] A check in the amount of \$ 790.00 in payment of the application filing fees is attached.
- [ ] Charge Fee(s) to Deposit Account No. 13-4500. Order No. \_\_\_\_\_\_. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- [X] The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4500, Order No. 0225-4161US16. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Dated: August 1998

Laurence J. Bromberg

Registration No. 36,095

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FORM: UTL-TRAN.NY

Rev. 5/21/98



<u>PATENT</u> Docket No. <u>0225-4161US16</u>

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Sholom S. Rosen

Serial No.

Group Art Unit:

Filed

Examiner:

For

ELECTRONIC TRANSACTION APPARATUS FOR ELECTRONIC COMMERCE

Assistant Commissioner for Patents Washington, D.C. 20231

#### **EXPRESS MAIL CERTIFICATE**

Express Mail Label No. <u>EI086332033US</u>

Date of Deposit August 21, 1998

I hereby certify that the following attached paper(s) and/or fee

- 1. Utility Application and Application Fee Transmittal
- 2. Patent Application (75 pages specification; 1 page abstract; 5 pages claims; 91 sheets drawings)
- 3. Copy of Combined Declaration and Power of Attorney
- 4. Preliminary Amendment
- 5. Supplemental Declaration
- 6. Check for \$790.00
- 7. Return postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37

C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington,

D.C. 20231, Attn: Box Patent Application.

Gilberto Soto

(Typed or printed name of person mailing paper(s)

and/or fee)

(Signature of person mailing paper(s) and/or fee

CORRESPONDENCE ADDRESS: MORGAN & FINNEGAN, L.L.P. 345 Park Avenue New York, New York 10154 (212) 758-4800 (212) 751-6849 Facsimile

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Rev. 11/13/97